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Approved for use through 9/30/00, OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 310265.90261

First Inventor or Application Identifier Paul C. Tang

Title Electronic Medical Records System with Active Clinical Guidelines

Express Mail Label No. EJ187995093US

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

- 1  Fee transmittal Form  
(Submit an original and a duplicate for fee processing)
- 2  Specification [Total 13]
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed Sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- 3  Drawing(s) (35 USC 113) [Total Sheets 1]
4. Oath or Declaration [Total Pages 3]
  - a.  Newly unexecuted (original or copy)
  - b.  Copy from prior Application (37 CFR 1.63(d))
    - (for continuation/divisional with Box 17 completed)
    - [Note Box 5 below]
    - i.  DELETION OF INVENTOR(S)
      - Signed Statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 5  Incorporation By Reference (useable if Box 4b is checked)
 

The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

6.  Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- Computer readable Copy
- Paper Copy (identical to computer copy)
- Statement Verifying identity of above

## ACCOMPANYING APPLICATION PARTS

- 8  Assignment Papers (cover sheet & documents)
- 9  37 CFR 3.73(b) Statement  Power of Attorney  
(where there is an assignee)
- 10  English Translation Document (if applicable)
- 11  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- 12  Preliminary Amendment
- 13  Return receipt postcard (MPEP 503)  
(Should be specifically itemized)
- 14  \*Small Entity Statement(s)  Status still proper and desired
- 15  Certified copy of priority Document(s)  
(if foreign priority is claimed)
- 16  Other:  
\* A new statement is required to pay small entity fees, except where one has been filed in a prior application and is being relied upon

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**
 Continuation     Divisional     Continuation-in-part (CIP) of prior application no. / \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_

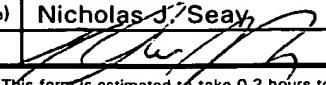
Group/Art Unit: \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**
 Customer Number or Bar Code Label

 Correspondence address

(Insert Customer No. or Attach bar code label)

NAME	Nicholas J. Seay				
	Quarles & Brady LLP				
ADDRESS	P O Box 2113				
CITY	Madison	STATE	WI	ZIP CODE	53701-2113
COUNTRY	US	TELEPHONE	608/251-5000	FAX	608/251-9166

Name (Print/Type)	Nicholas J. Seay	Registration No. (Attorney/Agent)	27,386
Signature		Date	March 14, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.  
 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. QBMA/213348



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Chicago (Quarles & Brady LLC)  
Milwaukee  
Naples  
Phoenix  
West Palm Beach

March 14, 2000

Assistant Commissioner of Patents  
Box Patent Application  
Washington DC 20231

Re: Filing New Patent Application

Dear Sir:

Enclosed for filing please find a new patent application entitled:

ELECTRONIC MEDICAL RECORDS SYSTEM  
WITH ACTIVE CLINICAL GUIDELINES

by      Paul C. Tang  
          Charles Y. Young

The undersigned hereby certifies that this document is being deposited with the United States Postal Service today, March 14, 2000, by the "Express Mail" service, utilizing Express Mail label number EJ187995093US, addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Please indicate receipt of this application by returning the attached postcard with the official Patent and Trademark Office receipt and serial number stamped thereon.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Edward Puskas".

Enclosures  
QBMAD\213347

**FEE TRANSMITTAL**

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement  
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
 See 37 C.F.R. §§1.27 and 1.28

TOTAL AMOUNT OF \$ 690.00

Application Number	
Filing Date	
First Named Inventor	Paul C. Tang
Group Art Unit	
Examiner Name	
Attorney Docket Number	310265.90261

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 17-0055

Deposit Account Name Quarles & Brady LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2.  Payment Enclosed:  Check  Money Order  Other

**FEE CALCULATION (fees effective 11/10/98)****1. FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	690	201 345 Utility filing fee	690.00
106	310	206 155 Design filing fee	
107	480	207 240 Plant filing fee	
108	690	208 345 Reissue filing fee	
114	150	214 75 Provisional filing fee	
SUBTOTAL (1) (\$)			690.00

**2. CLAIMS**

Total Claims	Extra	Fee from below	Fee Paid
13	-20**=	0 X =	
3	-3**=	0 X =	

Multiple Dependent Claims

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	18	203 09 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	260	204 130 Multiple dependent claim
109	78	209 39 **Reissue independent claims over original patent
110	18	210 09 **Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

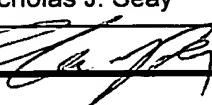
** or number previously paid, if greater, For reissues see below
Large Entity Fee Code
Small Entity Fee Code
Fee Description
Claims in excess of 20
Independent claims in excess of 3
Multiple dependent claim
**Reissue independent claims over original patent
**Reissue claims in excess of 20 and over original patent

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920	112	920	Requesting publication of SIR prior to Examiner action
113	1,840	113	1,840	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	380	216	190	Extension for reply within second month
117	870	217	435	Extension for reply within third month
118	1,360	218	680	Extension for reply within fourth month
128	1,850	228	925	Extension for reply within fifth month
119	300	219	150	Notice of Appeal
120	300	220	150	Filing a brief in support of an appeal
121	260	221	130	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive unavoidably abandoned application
141	1,210	241	605	Petition to revive unintentionally abandoned application
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))
Other fee (specify)				
Other fee (specify)				
SUBTOTAL (3) (\$)				

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Typed or Printed Name	Nicholas J. Seay	Registration No. (Attorney/Agent)	27,386	Telephone No.	608/251-5000
Signature		Date	March 14, 2000		